

GENERAL FRAMEWORK FOR OPTIMIZATION OF DISTRIBUTED QUERIES

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ABSTRACT

The paper suggests the heuristic approach for selecting the optimal evaluation plan and Semi-join approach for reducing the communication cost. Calculating the cost of each evaluation plan of a query takes lots of computational efforts as well as time. Initially the heuristic approach is used to find best evaluation plan among various plans of a single query. By considering only joins, queries are divided into two: tree and cyclic. Semi-join is a useful tool to reduce the cost of joins. The communication cost in distributed database is mainly depending upon the amount of data transferred from one site to another site. Semi-join approach is sufficient to fully reduce the tree queries while semi-joins are not the full reducers for cyclic queries. Cyclic queries are therefore converted into tree queries using different approaches such as addition of vertices, elimination of vertices, decomposition of vertices and elimination of edges. After conversion of cyclic to tree query, semi-join approach can be used.

KEYWORDS

Heuristics, Query Optimization, Cost-Based Optimization, Tree query, Cyclic query

1. INTRODUCTION

A heuristic is a rule of thumb, or a good guide to follow when making decisions. Heuristic refers specifically to algorithms in computer science. Algorithms have importance which works well in certain situations even though they may perform inefficiently for uncommon situations.

Therefore, a heuristic is a problem solving technique may include running tests and getting results by trial and error. After testing more sample data, it becomes easier to create an efficient algorithm to process similar types of data. These algorithms are not always perfect, but work well most of the time. The goal of heuristics is to develop a simple process that generates accurate results in an acceptable amount of time.

In case of Query Optimization, it is impractical to search evaluation plans exhaustively, when the optimization of joins involves many relations. Optimizers use heuristics to find best evaluation plan in addition with an exhaustive algorithm which generates alternative join order plans and terminates when cost budget is exceed [1-3].

Our framework includes the heuristic rules for finding optimal evaluation plan among various plans of a single query. This reduces the computational cost of each evaluation plan. Then for distributed query, semi-join approach is used. The queries which are not forming cycles in the query graph are called tree queries. Semi-join approach reduces the communication cost by reducing the amount of data transfer from one site to another site. If the query graph forms the

cycle, then query is a cyclic query. To make the use of semi-join approach, cyclic queries are converted into tree queries by using different approaches such as addition or elimination or decomposition of vertices, elimination of edges etc.

The approach of using semi-joins as reducers to process a distributed query has received a great deal of attention. This approach consists of the following three phases: (1) a local processing phase which involves all local processing such as selections and projections, (2) a semi-join reduction phase where a sequence of semi-joins is used to reduce the size of relations, and then, lessen the total communication cost required, and (3) a final processing phase in which all resulting relations are sent to the result site where the final query processing is performed. The problem of minimizing the communication cost for distributed query processing by a semi-join reduction approach has been shown as NP-hard.

The rest of the paper is organized as follows: Section 2 describes the related work. Section 3 describes the heuristic approach. Section 4 gives the tree query optimization. Section 5 describes the cyclic query optimization. Section 6 gives the concluding remarks.

2. RELATED WORK

There has been much work on distributed query processing and optimization. Most of the work has focused on minimizing the total communication cost for executing a single query by judiciously choosing the join order and possibly adding semi-join operators to the query plan [1-4].

Hevner and Yao considered simple queries and suggested an optimal semi-join algorithm. They also extended their algorithm to produce efficient reducers for general queries [5].

Kambayashi Y. and Yoshikawa M. suggested horizontal decomposition as the one of the way of converting cyclic query into tree query [6][7].

Bernstein and Chiu showed that semi-joins are full reducers for tree queries. Tree queries are those queries whose query graphs are trees. They also give a proof that cyclic queries cannot be answered by the semi-joins alone [8][9].

Goodmann N. And Shemuli O. give different approaches of transforming cyclic query into tree query [10][11].

A survey article by Yu and Chang reviews many query processing algorithms. These algorithms can be characterised by several properties including timing of the optimization, replication of data, cost of the data etc [18-20][22].

System R* uses dynamic programming to find an optimal solution to the distributed query processing problem. The algorithm considers both processing and communication costs to arrive at an optimal strategy. However it does not use semi-joins as one of the options for reducing the relations [21].

Chen and Yu give different approach of semi-joins. They are showing that the approach of semi-join as reducers can lead to substantially larger reduction on data transmission required. They developed an efficient heuristic approach to determine an effective sequence of semi-join and join reducers. Semi-joins whose execution will reduce the amount of data transmission required to perform a join sequence are termed beneficial semi-joins for that join sequence.

Beneficial semi-joins include the conventional profitable semi-joins and the gainful semi-joins that are not profitable themselves but become beneficial due to the inclusion of join reducer. This type of dependency between semi-join and join reducers complicates the identification of beneficial semi-joins and the ordering in the reducer sequence.

They first obtain a sequence of join reducers and map it into a join sequence tree. In light of the join sequence tree we derive important properties of beneficial semi-joins. These properties are then applied to develop an efficient algorithm to determine the beneficial semi-joins which can be inserted into the join sequence.

The approach of interleaving a join sequence with beneficial semi-joins is not only efficient but also effective in reducing the total amount of data transmission required to process distributed queries [23]

3. HEURISTIC APPROACH

There are many rules for transforming relational algebra operations into equivalent ones. In relational algebra, there are several definitions and theorems the query optimizer can use to transform the query. For instance, the definition of equivalent relations states that the set of attributes (domain) of each relation must be the same— because they are sets, the order does not matter. Here is a partial list of relational algebra theorems [1-2].

3.1. General Transformation Rules for Relational Algebra Operations

1. **Cascade of σ :** A conjunctive selection condition can be broken up into a cascade (sequence) of individual σ operations:

$$\sigma_{c_1 \text{ AND } c_2 \text{ AND } \dots \text{ AND } c_n}(\mathbf{R}) = \sigma_{c_1}(\sigma_{c_2}(\dots(\sigma_{c_n}(\mathbf{R}))\dots))$$

2. **Commutativity of σ :** The σ operation is commutative:

$$\sigma_{c_1}(\sigma_{c_2}(\mathbf{R})) = \sigma_{c_2}(\sigma_{c_1}(\mathbf{R}))$$

3. **Cascade of π :** In a cascade (sequence) of π operations, all but the last one can be ignored:

$$\pi_{List1}(\pi_{List2}(\dots(\pi_{Listn}(\mathbf{R}))\dots)) = \pi_{List1}(\mathbf{R})$$

4. **Commuting σ with π :** If the selection condition c involves only the attributes A_1, \dots, A_n in the projection list, the two operations can be commuted:

$$\pi_{A_1, A_2, \dots, A_n}(\sigma_c(\mathbf{R})) = \sigma_c(\pi_{A_1, A_2, \dots, A_n}(\mathbf{R}))$$

5. **Commutativity of \bowtie_c (and \times):** The operation is commutative as is the \times operation:

$$\mathbf{R} \bowtie_c \mathbf{S} = \mathbf{S} \bowtie_c \mathbf{R}; \mathbf{R} \times \mathbf{S} = \mathbf{S} \times \mathbf{R}$$

6. Commuting σ with \bowtie (or \times): If all the attributes in the selection condition c involve only the attributes of one of the relations being joined—say, R —the two operations can be commuted as follows:

$$\text{a. } \sigma_c (R \bowtie S) = (\sigma_c (R)) \bowtie S$$

Alternatively, if the selection condition c can be written as $(c1 \text{ and } c2)$, where condition $c1$ involves only the attributes of R and condition $c2$ involves only the attributes of S , the operations commute as follows:

$$\text{b. } \sigma_c (R \bowtie S) = (\sigma_{c1} (R)) \bowtie (\sigma_{c2} (S))$$

7. Commuting π with \bowtie (or \times): Suppose that the projection list is $L = \{A1, \dots, An, B1, \dots, Bm\}$, where $A1, \dots, An$ are attributes of R and $B1, \dots, Bm$ are attributes of S . If the join condition c involves only attributes in L , the two operations can be commuted as follows:

$$\text{a. } \pi_L (R \bowtie_C S) = (\pi_{A1, \dots, An} (R)) \bowtie_C (\pi_{B1, \dots, Bm} (S))$$

b. If the join condition C contains additional attributes not in L , these must be added to the projection list, and a final π operation is needed.

8. Commutativity of set operations: The set operations \cup and \cap are commutative but “ $-$ ” is not.

9. Associativity of \bowtie , \times , \cup , and \cap : These four operations are individually associative; that is, if q stands for any one of these four operations (throughout the expression), we have

$$\text{a. } (R q S) q T = R q (S q T)$$

10. Commuting σ with set operations: The σ operation commutes with \cup , \cap , and $-$. If q stands for any one of these three operations, we have

$$\text{a. } \sigma_c (R q S) = (\sigma_c (R)) q (\sigma_c (S))$$

11. The π operation commutes with \cup :

$$\text{i. } \pi_L (R \cup S) = (\pi_L (R)) \cup (\pi_L (S))$$

12. Converting a (σ, X) sequence into \bowtie :

$$\text{i. } \sigma_c (R X S) = (R \bowtie_C S)$$

Figure 2: Outline of a Heuristic Algebraic Optimization Algorithm

The below algorithm utilizes some of the rules of transform an initial query tree into an optimized tree that is efficient to execute during heuristic optimization.

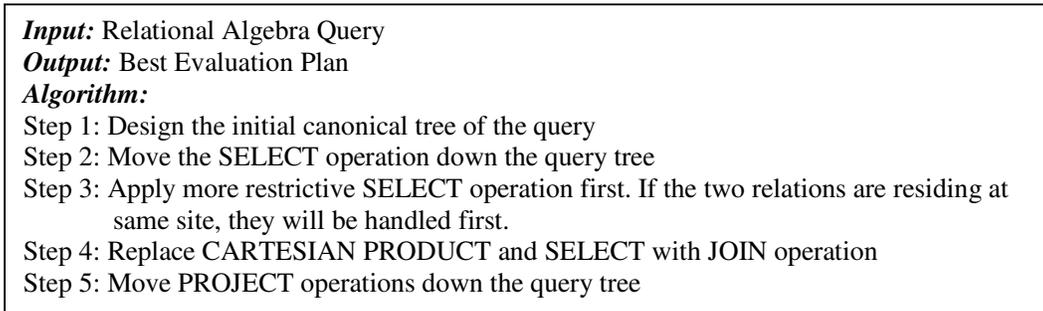


Figure 3: Heuristic Query Optimization

3.3. Motivating Example

Consider the following relations [4]

R1(Prof, Dept, Research_Area)

R2(Course, Professor, Text, Department)

Retrieve the professor who is teaching Soft Computing course which includes the text as Fuzzy in Computers department and whose research area is Neural Network.

Select Prof from R1,R2 WHERE Dept = Department AND Research_Area = "Neural Network" AND Course = "Soft Computing" AND Text ="Fuzzy" AND Department = "Computers";

To implement optimizer we have considered the Visual Studio 2008 and SQL Server 2008 R2. The above query in the relational algebra form is given as the input and the final query tree by using the heuristic rules is the output of the optimizer.

Following is the query tree converted using heuristic:

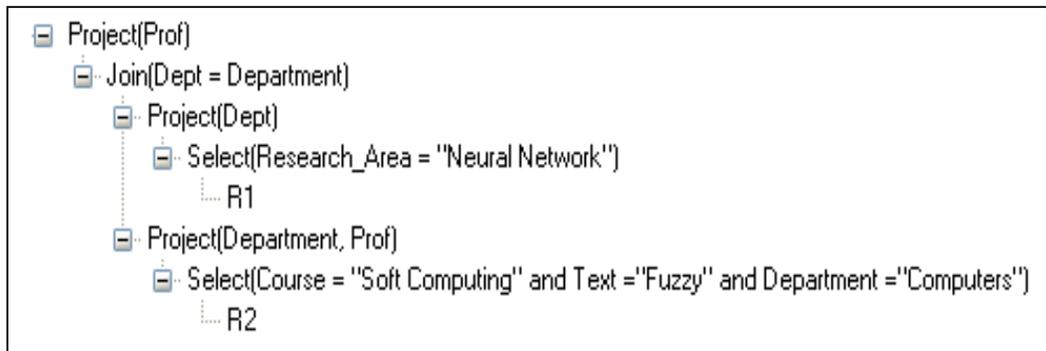


Figure 4: Heuristic Query Tree

4. COST ESTIMATION APPROACH

In case of distributed query, along with the heuristic rules we need to apply cost estimation methods for reducing the transmission cost and for better optimization. The cost estimation methods are different for different types of queries. By considering only joins, the distributed queries are divided into tree query and cyclic query.

4.1 Tree Query and Cyclic Query

Consider the join graph and query graph given below. The query graph which forms the cycle is the graph of cyclic query and the query graph which does not form the cycle is tree query graph. We will consider the optimization of both the queries [4][5][6].

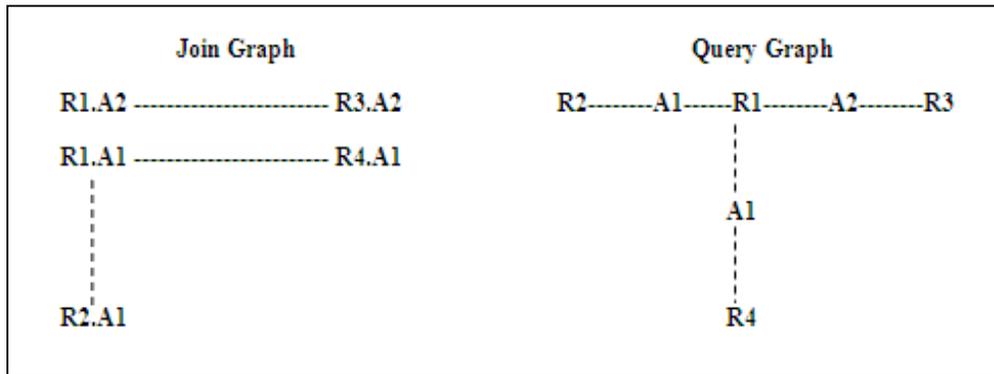


Figure 5: Tree Query Example



Figure 6: Cyclic Query Example

4.2 Tree Query Optimization

Since the amount of data transferred affects the cost of a strategy, we should attempt to reduce it. A promising approach for optimizing tree queries is to make use of semi-joins. A semi-join from relation R2 to relation R1 on attribute A is denoted by $R2 \dashv\dashv A \dashv\dashv R1$ where R2 is the sending relation, R1 is the reduced relation and A is the joining attribute. It can be obtained by joining R1 and R2 on attribute B, then projecting the resulting relation on the schema of R1. The semi-join operation is denoted as $R_{i+1} \bowtie R_i$. For semi-join operation, we will consider the selectivity of relation on join attribute [7][8][9][10].

Selectivity: Let P_i be the probability that a value in attribute A appears in R_i , $i = 1, 2$. P_i is called the selectivity of R_i on attribute A. It is given by $|R_i| / |A|$.

4.2.1. Reduction of simple tree queries using semi-join operation

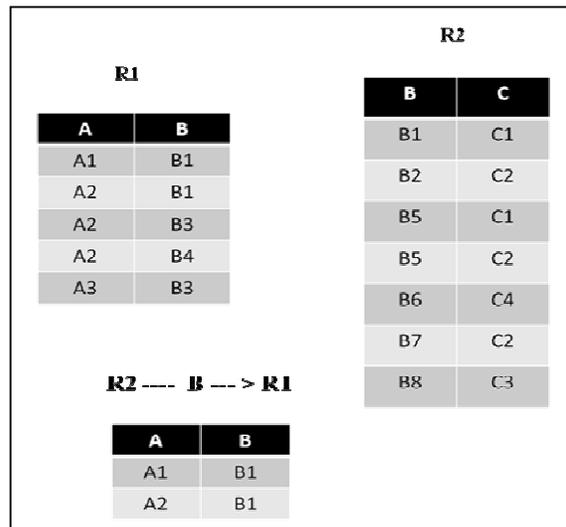


Figure 7. Semi-Join Operation

A simple query consists of only one joining attribute. Since the simple query is a tree query, all the relations in the query may be reduced by using semi-join operation [11][12][13]. We are considering two strategies for implementing Semi-joins.

Strategy 1: We will consider the relations which are in the ascending order of their size

Strategy 2: We will consider the relation which is to be reduced, not in the ascending order but in the last semi-join operation.

Consider the qualification of a simple query with n join clauses as *for* $i = 1$ to n , $R_i.A = R_{i+1}.A$. Consider relations R_1, R_2, R_3, R_4 and joining attribute as A . The relations are in the ascending order of their join attribute. Consider simple query is $(R_1.A = R_2.A)$ and $(R_2.A = R_3.A)$ and $(R_3.A = R_4.A)$. Their corresponding sizes are S_1, S_2, S_3 , and S_4 . Their corresponding selectivities are SEL_1, SEL_2, SEL_3 , and SEL_4 . The Cost of initiating a message is C_0 . The cardinality is $C(R_i.A)$.

Strategy I:

Semi-join Operation will be as follows:

We will consider the sequence of semi-joins $R_{i+1} \bowtie R_i$ where i is indexed from 1 to 4.

STEP 1:

$R_2 \bowtie R_1$

Cost 1 = $C_0 + S_1$

$C(R_2.A) = S_2 * SEL_1$

STEP 2:

$R_3 \bowtie R_2$

Cost 2 = $C_0 + C(R_2.A)$

$C(R_3.A) = S_3 * SEL_2 * SEL_1$

STEP 3:

$R4 \bowtie R3$

Cost 3= $C_0 + C (R3.A)$

$C (R4.A) = S4 * SEL3 * SEL2 * SEL1$

Moving the result to resultant site

Cost 4= $C_0 + C (R4.A)$

Therefore, Total Cost = Cost1 +Cost2 +Cost 3+Cost 4

Strategy II:

Relation R2 is to be reducible so we consider R2 at the end of semi join operation

STEP 1:

$R3 \bowtie R1$

Cost 1= $C (R1.A)$

$C (R3.A) = S3 * SEL1$

STEP 2:

$R4 \bowtie R3$

Cost 2= $C (R3.A)$

$C (R4.A) = S4 * SEL3 * SEL1$

STEP 3:

$R2 \bowtie R4$

Cost 3= $C (R4.A)$

$C (R2.A) = S2 * SEL4 * SEL3 * SEL1$

Therefore, Total Cost = Cost1+Cost2+Cost3

After comparing the total costs obtained by strategy I and II, select the strategy having the lowest cost.

4.2.2. Motivating Example

Consider the following example for illustration of both the strategies:

Illustration : Consider the simple query with R1 , R2,R3 and R4 as relations and A as their joining attribute. Result should be at the site of relation 2.Size and Selectivity of each relation is given below:

	Size	Selectivity
R1.A	10000	0.1
R2.A	20000	0.2
R3.A	30000	0.3
R4.A	40000	0.4

Figure 8. Relation with size and selectivity

Strategy I

Consider transmission cost =10. It is added to each calculation of cost.

We will consider the sequence of semi-joins $R_{i+1} \bowtie R_i$ where I is indexed from 1 to 4.

$$R2 \bowtie R1$$

$$\text{Cost} = 10010$$

$$C(R2.A) = 20000 * 0.1 = 2000$$

$$R3 \bowtie R2$$

$$\text{Cost} = 2010$$

$$C(R3.A) = 30000 * 0.2 * 0.1 = 600$$

$$R4 \bowtie R3$$

$$\text{Cost} = 610$$

$$C(R4.A) = 40000 * 0.3 * 0.2 * 0.1 = 240$$

Moving the result to site of relation 2

$$\text{Cost} = 250$$

Therefore, Total Cost = 10010 +2010+610+250 = 12880 ----- Strategy I

Strategy II:

Relation R2 is to be reducible so we consider R2 at the end of semi join operation

$$R3 \bowtie R1$$

$$\text{Cost} = 10010$$

$$C(R3.A) = 30000 * 0.1 = 3000$$

$$R4 \bowtie R3$$

$$\text{Cost} = 3010$$

$$C(R4.A) = 40000 * 0.3 * 0.1 = 1200$$

$$\mathbf{R2} \bowtie \mathbf{R4}$$

$$\text{Cost} = 1210$$

$$C(R2.A) = 20000 * 0.4 * 0.3 * 0.1 = 240$$

Therefore, Total Cost = 10010+3010+1210 = 14230 ----- Strategy II

Comparing the total costs obtained by strategy I and II, and selecting strategy I.

So, **Total Cost = 12880**

4.3 Cyclic Query Optimization

There is no semi-join sequence which can reduce all relations in a cyclic query. So we cannot use semi-join strategies for cyclic query optimization. For processing a cyclic query, we first transform it into a tree query and then a tree query optimization procedure is applied. There are many ways of transformation from cyclic queries to tree queries. Some of the techniques are given below[10][14][15][16]:

4.3.1 Minimization of Vertices

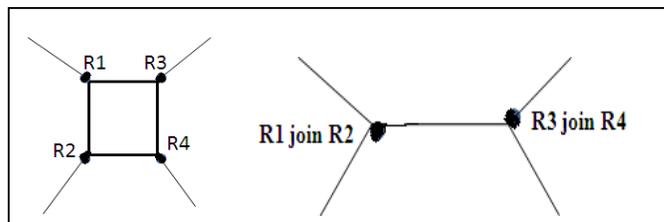


Figure 9. Removal of cycle using minimization of vertices

Pseudo- Code:

Consider a graph having vertices as relations R_i, R_j, R_k

Check whether vertices of relations forms a cyclic graph

If (Cyclic Graph)

Start transformation

Merge the vertices of relations such that resulting vertex is connected to every vertex which is connected to v_i or v_j or v_k

End Transformation

Else

Graph is not a cyclic graph

4.3.2 Technique 2: Minimization of Edges

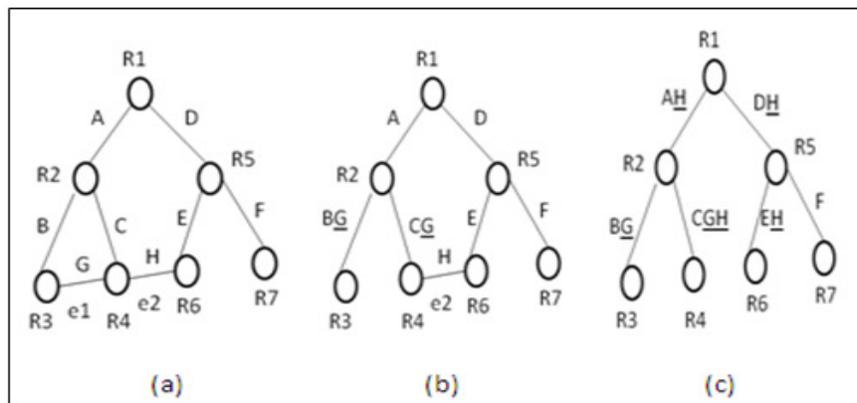


Figure 10. Removal of cycle using Attribute Addition Approach.

Pseudo-Code:

Consider relations R_i and R_j are joined on attribute A
 Check one of the values common to $R_i.A$ and $R_j.A$
 If (common value exists)
 Let common value be a
 Replace the join on this value by two selection operations
 $R_i [A = a]$ and $R_j [A = a]$.
 Repeat till all A -values from R_i and R_j are over

4.3.3 Technique 3: Addition of Vertices

Instead of adding attributes we can convert queries by adding extra relations. After adding relation, apply following transformation to the graph.

Elementary Transformation: In a query graph, vertices acts as relations and edges are the joining attributes of two vertices. Consider three vertices as V_i, V_j, V_k and their edges be Y_1, Y_2 and Y_3 . If there is no edge between V_i and V_k , Y_3 is assumed to be \emptyset . We can change the label Y_3 to any Y_4 satisfying the following condition, where $Z = E_1 \cap E_2 \neq \emptyset, E_3 - Z \subseteq Y_4 \subseteq Y_3 \cup Z$. Here we assume $Y_1 \neq \emptyset$ and $Y_2 \neq \emptyset$. If $Y_3 - Z$ is \emptyset , Y_4 can be \emptyset . In such a case, the edge is removed. If Y_3 is originally \emptyset , we can add edge e_{ik} whose label is a subset of Z .

Consider the following relations:
 $R_1(ABC), R_2(ADC), R_3(EADB)$. The corresponding query graph forms the cycle, but addition of new relation $R_4(ACDB)$ removes the cycle.

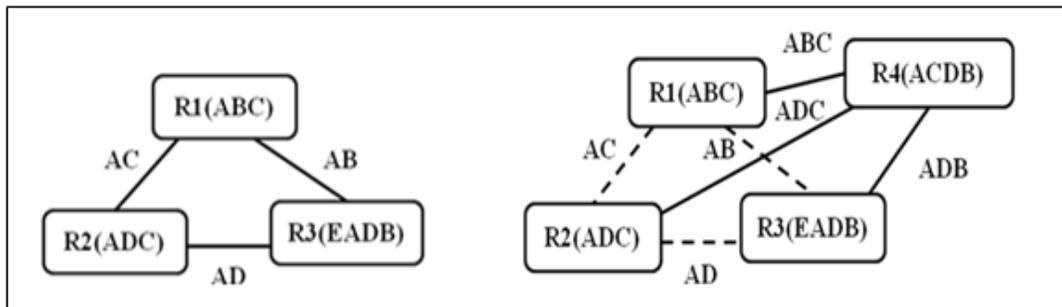


Figure 11. Removal of cycle using addition of vertices

Pseudo-Code:

Add vertices corresponding to the extra relations to the query graph.
 Add appropriate edges.
 Apply the elementary transformation to the resulting graph
 Apply operations to compute the instances of the extra relations.

4.3.4 Technique 4: Decomposition of Vertices

To remove the cycle from a graph, we can divide decompose the relation horizontally, or if a relation satisfies a functional or multi-valued dependency, relation can be vertically decomposed [6][16][19][20].

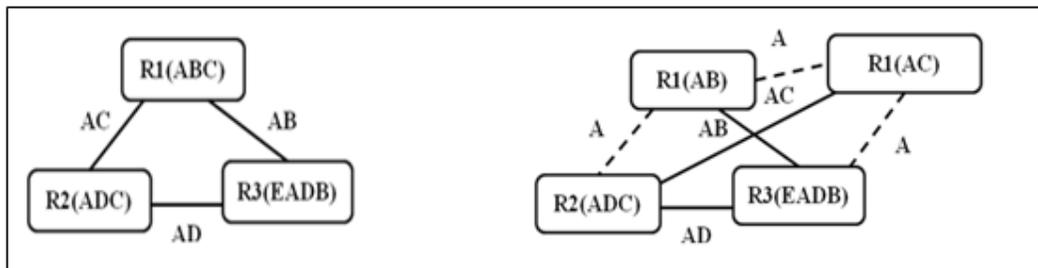


Figure 12. Removal of vertices using vertical decomposition of a relation

Consider relation R(ABC) and the functional dependency FD : $A \rightarrow B$ is required in relation R. The given relation R is not satisfying the required functional dependency. Horizontal decomposition R1 and R2 of relation R satisfies the Functional Dependency.

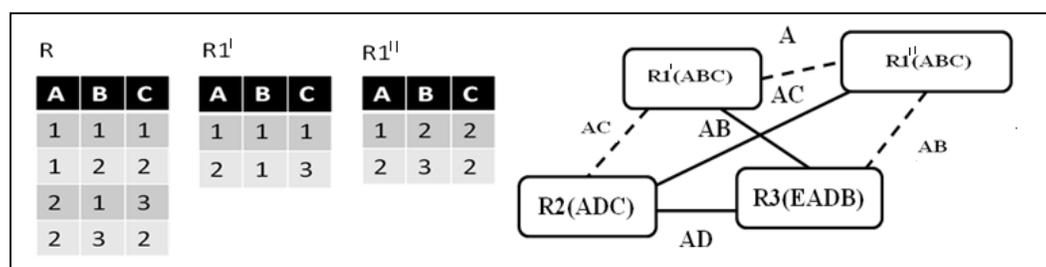


Figure 13. Removal of cycle using horizontal decomposition of a relation

5. CONCLUSION

By using the heuristic approach, we can reduce the cost of query optimization. As we are considering join of distributed queries, queries are divided into tree queries and cyclic queries. Semi-joins are full reducers for tree queries. The conversion of cyclic queries into tree queries uses different approaches such as addition of vertices, elimination of vertices, decomposition of relations and elimination of edges etc. Horizontal and vertical decomposition of relations is the best method for conversion of cyclic queries into tree queries.

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